

United States Patent and Trademark Office

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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/808,123

03/24/2004

Urs Mangold

ELMA-01000US1

CONFIRMATION NO. 4537

FORMALITIES LETTER

OC000000012891449*

Date Mailed: 06/07/2004

VIERRA MAGEN MARCUS HARMON & DENIRO LLP 685 MARKET STREET, SUITE 540

SAN FRANCISCO, CA 94105

08/04/2004 SDIRETAI 00000031 10808123

FILED UNDER 37 CFR 1.53(b)

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01 FC:1051

130.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper, which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e)). See Figure(s) 2, too dark.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

\$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031

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FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

7 ppilodion rumboi	10/808,123	
Filing Date	March 24, 2004	
First Named Inventor	Urs Mangold	
Art Unit	2833	
Examiner Name		
Attorney Docket Number	ELMA-01000US1	<u> </u>

ENCLOSURES (Check all that apply)						
1	Fee Transmitt	al Form	✓	Drawing(s)		After Allowance communication to Group
	Amendment/R After F Affidav Extension of T Express Aban Information Di Certified Copy Document(s) Response to M Incomplete Ap	Final vits/declaration(s) Fime Request idonment Request isclosure Statement y of Priority Missing Parts/	Ren	Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s)	Deck Post	Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): aration for Patent Application card
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm or Individ						
Signat	Signature					
Date	Date July 29, 2004					
CERTIFICATE OF TRANSMISSION/MAILING						

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

the date shown below.				
Typed or printed name	Brian I. Marcus	- -		
Signature	Manus	Date	July 29, 2004	_

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (10-03)

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FEE TRANSMITTA for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

Co	omplete if Known	
Application Number	10/808,123	
Filing Date	March 24, 2004	
First Named Inventor	Mangold	
Examiner Name		
Art Unit	2833	
Attorney Docket No.	ELMA-01000US1	

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)			
Check Credit card Money Other None Order	3. ADDITIONAL FEES			
Deposit Account:		, Small Entity		
Deposit F04000	Fee Fee Code (\$)	Fee Fee Fee Description Fee Paid:		
Number	1051 130	2051 65 Surcharge - late filing fee or oath 130		
Deposit Vierra Magen Marcus Account Harmon & DeNiro LLP	1052 50	2052. 25 Surcharge - late provisional filing fee or cover sheet		
Name The Director is authorized to: (check all that apply)	1053 130	1053 130 Non-English specification		
Charge fee(s) indicated below Credit any overpayments	1812 2,520	1812 2,520 For filing a request for ex parte reexamination		
Charge any additional fee(s) or any underpayment of fee(s)	1804 920°	1804 920* Requesting publication of SIR prior to Examiner action		
Charge fee(s) indicated below, except for the filing fee	1805 1.840*	1		
to the above-identified deposit account.		Examiner action		
FEE CALCULATION	1251 110	2251 55 Extension for reply within first month		
1. BASIC FILING FEE	1252 420	2252 210 Extension for reply within second month		
Large Entity Small Entity Fee Fee Fee Fee Fee Description Fee Paid	1253 950	2253 475 Extension for reply within third month		
Code (\$) Code (\$)	1254 1,480	2254 740 Extension for reply within fourth month		
1001 770 2001 385 Utility filing fee	1255 2,010	2255 1,005 Extension for reply within fifth month		
1002 340 2002 170 Design filing fee	1401 330	2401 165 Notice of Appeal		
1003 530 2003 265 Plant filing fee	1402 330	2402 165 Filing a brief in support of an appeal		
1004 770 2004 385 Reissue filing fee	1403 290	2403 145 Request for oral hearing		
1005 160 2005 80 Provisional filing fee	1451 1,510	1451 1,510 Petition to institute a public use proceeding		
SUBTOTAL (1) (\$) 0	1452 110	2452 55 Petition to revive - unavoidable		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453 1,330	2453 665 Petition to revive - unintentional		
Fee from	1501 1,330	2501 665 Utility issue fee (or reissue)		
Total Claims Extra Claims below Fee Paid Total Claims -20** = X = 0	1502 480	2502 240 Design issue fee		
Independent 200	1503 640	2503 320 Plant issue fee		
Claims - 3 =	1460 130	1460 130 Petitions to the Commissioner		
Large Entity Small Entity	1807 50	1807 50 Processing fee under 37 CFR 1.17(q)		
Fee Fee Fee Fee Description	1806 180	1806 180 Submission of Information Disclosure Stmt 8021 40 Recording each patent assignment per		
Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20	8021 40	8021 40 Recording each patent assignment per property (times number of properties)		
1201 86 2201 43 Independent claims in excess of 3	1809 770	2809 385 Filing a submission after final rejection (37 CFR 1.129(a))		
1203 290 2203 145 Multiple dependent claim, if not paid	1810 770	2810 385 For each additional invention to be		
1204 86 2204 43 ** Reissue independent claims over original patent	1801 770	examined (37 CFR 1.129(b)) 2801 385 Request for Continued Examination (RCE)		
1205 18 2205 9 ** Reissue claims in excess of 20	1802 900	1802 900 Request for expedited examination		
and over original patent	Other fee (sr	of a design application		
SUBTOTAL (2) (\$) 0				
**or number previously paid, if greater; For Reissues, see above	1	/ Basic Filing Fee Paid SUBTOTAL (3) (\$) 130		

(Complete (if applicable)) SUBMITTED BY Brian I. Marcus Registration No. Telephone(415) 369-9660 Name (Print/Type) 34,511 July 29, 2004 Signature

> WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.